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4 UNITED STATES DISTRICT COURT
5 NORTHERN DISTRICT OF CALIFORNIA

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7 KALA MINKLEY,

8 Plaintiff,

9 v.

10 EUREKA CITY SCHOOLS, et al.,

11 Defendants.

Case No. 17-cv-3241-PJH

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**ORDER GRANTING MOTION TO
DISMISS IN PART AND DENYING IT IN
PART**

Defendants' motion to dismiss certain claims asserted against them in the first amended complaint ("FAC") pursuant to Federal Rule of Civil Procedure 12(b)(6) came on for hearing before this court on August 16, 2017. Plaintiff Kala Minkley appeared by her counsel Peder J. Thoreen and Jennifer W. Bezoza. Defendants appeared by their counsel Nicholas R. Kloepel. Having read the parties' papers and carefully considered their arguments and the relevant legal authority, the court hereby GRANTS the motion in part and DENIES it in part as follows.

21
BACKGROUND

22 This is a case arising out of a dispute between plaintiff Kala Minkley and her
23 former employer, the Eureka City Schools Board of Education, which resulted in her
24 termination. Named as defendants are the Eureka City Schools; the Eureka City Schools
25 Board of Education ("the District" or "the Board"); District Superintendent Fred Van Vleck
26 ("Van Vleck"); Director of Student Services Laurie Alexander ("Alexander"); and the five
27 members of the Board – Lisa Ollivier ("Ollivier"), Wendy Davis ("Davis"), Mike Duncan
28 ("Duncan"), Susan Johnson ("Johnson"), and Fran Taplin ("Taplin"). The individual

1 defendants are sued in their individual and official capacities.

2 Plaintiff was a special education teacher who began her employment with the
3 District at the start of the 2015-2016 school year, at Grant Elementary School. FAC ¶¶ 2,
4 17. During that time period, she was classified by the District as a "probationary"
5 teacher, pursuant to Cal. Educ. Code § 44915. FAC ¶ 2. Plaintiff alleges that her job
6 performance was exemplary. FAC ¶ 18.

7 Plaintiff asserts that starting in October 2015, and continuing through May 2016,
8 she was attacked (and injured) by two of her students "multiple times a week for at least
9 thirty to ninety minutes at a time," with the most severe assaults occurring on October 16,
10 2015, and January 26, February 12, April 5, April 7, April 27, May 10, and May 23, 2016.
11 FAC ¶¶ 19-21. She alleges that "[d]uring these attacks, the students hit, punched,
12 shoved, kicked, bit, scratched, pulled her hair, and head-butted her [and that they] also
13 flipped over desks, destroyed school property, threw heavy objects (such as backpacks,
14 chairs, and rocks) at [plaintiff] and made specific threats to her life." FAC ¶ 20. She
15 claims that these students also attacked/ threatened other students. FAC ¶ 24.

16 Plaintiff complained to District administrators as well as to school psychologists
17 and the Eureka Teachers Association ("ETA") that her classroom was not an appropriate
18 placement for the two students who were engaging in violent behavior, whom she
19 considered to be in need of greater support and services. See FAC ¶¶ 22, 26-65. She
20 asserts that she filed "at least seventeen 'Reports Regarding Attack, Assault, or Physical
21 Threat of a Teacher or Paraprofessional,'" that she "continually told her supervisor, [Grant
22 School] Principal Martin Goddi, about these students' harmful behavior[,]" and that she
23 eventually began reporting the attacks to the Eureka Police Department. FAC ¶ 22.

24 In early December 2015, ETA President David Dement wrote to the Board on
25 behalf of plaintiff and other bargaining unit members explaining that escalating violence
26 at Grant School was resulting in classroom and school disruption, lockdowns, physical
27 and verbal assault of students and teachers, and loss of teaching and learning time. FAC
28 ¶ 30. Plaintiff claims that he also presented this information to Van Vleck at a meeting on

1 December 8, 2015. FAC ¶ 30. At the December 10, 2015, Board Meeting, Mr. Dement
2 addressed the Board's questions and concerns, and Alexander allegedly assured the
3 Board that the District was addressing those issues and the students' needs. FAC ¶ 30.

4 Nevertheless, plaintiff asserts, the violence continued to escalate in January 2016.
5 FAC ¶ 31. Plaintiff alleges that Mr. Dement asked elementary teachers to communicate
6 directly with the Board regarding the unsafe teaching and learning conditions in their
7 schools, and that he compiled the teachers' responses without their names in one
8 document, which he sent to the Board on January 13, 2016. FAC ¶ 31. This document
9 included two responses from plaintiff. FAC ¶ 32.

10 In the first response, plaintiff noted the absence of a plan for safety at the school,
11 and the lack of a Crisis Prevention Intervention ("CPI") team, which she had repeatedly
12 requested be organized. She stated that "this makes it very dangerous for me and the
13 other adults at my school to come to work" and that "[m]y students are in serious danger
14 every day because my school has no CPI team[,]" adding that "[a]ll of our students
15 deserve a free and appropriate public education" and that "[t]he students who are dealing
16 with dangerous behavior problems need more services." FAC ¶ 32. In the second
17 response, which was also sent to Principal Goddi, Alexander, and School Psychologist
18 Jessie Burns, plaintiff detailed a recent incident with one of the two students, and again
19 emphasized the need for a functioning CPI team on the school campus. FAC ¶ 32.

20 Plaintiff contends that Van Vleck wrote to Mr. Dement, discouraging him from
21 presenting the teachers' complaints to the Board at the January 14, 2016, meeting,
22 stating that such a presentation at the public Board meeting would "create more
23 negativity about why parent [sic] shouldn't send their children to Eureka City Schools."
24 FAC ¶ 33. The Board did allegedly discuss the safety issues at the January 14, 2016
25 meeting, but plaintiff claims that Van Vleck, Alexander, and Principal Goddi again
26 assured the Board that the District was addressing the safety and special education
27 issues. FAC ¶ 34.

28 Plaintiff asserts that she also complained that the District was violating special

1 education and non-discrimination law with respect to the maintenance of individualized
2 educational program ("IEP") files, failure to hold timely manifestation determination
3 review meetings, lack of effective Positive Behavior Interventions and Supports
4 Protocols, and failure to forward behavior emergency forms. See FAC ¶¶ 39-41, 61.

5 In February 2016, Ms. Minkley reported to the Humboldt County Office of
6 Education, which administers the Beginning Teacher Support and Assessment ("BTSA")
7 induction program, that the District was not maintaining a safe environment and was not
8 appropriately supporting her and her students with disabilities. FAC ¶ 37. Pat Self, the
9 County Coordinator for the BTSA program, promised to reach out to the District on Ms.
10 Minkley's behalf. FAC ¶ 37.

11 According to plaintiff, the placement of one of the two troubled students was
12 changed from her class to a conference room in the school on April 17, 2016. FAC ¶ 51.
13 On April 20, 2016, Alexander offered plaintiff a preschool teaching position in the Autism
14 Classroom at Winzler's Children's Center, which she accepted. FAC ¶ 53.

15 Approximately a week later, on April 27, 2016, after plaintiff returned from a visit to
16 the Emergency Room where she had been treated for an injury caused one of the
17 students, she urged Principal Goddi to place the student in an interim alternative
18 educational setting for 45 days, which is permitted under special education law (even if
19 his conduct was a manifestation of his disability) because of the severity of his attacks.
20 FAC ¶ 54. She claims that Principal Goddi never responded to her request. FAC ¶ 54.

21 On April 28, 2016, plaintiff wrote to Principal Goddi and Ms. Burns stating her
22 belief that the remaining student needed "a more restrictive placement and that home
23 and hospital instruction would be most appropriate[,"] and advising that it was not safe for
24 this student to be in her classroom, and that "he is not accessing his education here . . ."
25 FAC ¶ 55. At this student's April 2016 IEP meeting, she again voiced her concern that
26 the student's placement was inappropriate, but alleges that she had been warned by
27 Alexander (through Ms. Burns) not to do so. FAC ¶ 56. Plaintiff claims that the District
28 did not adequately address her concerns expressed in these communications. FAC ¶ 57.

1 Plaintiff asserts that on May 19, 2016, on the advice of Ms. Burns, she sent an
2 email to Mindy Fattig, Director of the Humboldt-Del Norte SELPA, stating, "[M]y student is
3 still not accessing his education. We are not able to assess him on goals at this point,
4 but I have no doubt that he is regressing in all areas. Because of this, it seems to me
5 that he is inappropriately placed . . . This boy is not getting the education he is owed. I
6 hope you can help because I have exhausted all other options . . ." FAC ¶ 60. Ms.
7 Fattig allegedly informed plaintiff that she would discuss the situation with Van Vleck.
8 FAC ¶ 60.

9 Plaintiff also complained to Ms. Fattig that the District had not forwarded
10 emergency behavior forms that she had filled out during the school year to SELPA, "as it
11 was required to do by state regulations, as indicated on the form." FAC ¶ 61. Plaintiff
12 claims that she had previously expressed her concern to Alexander that the District had
13 not been forwarding these forms to SELPA as required. FAC ¶ 61.

14 Plaintiff alleges that on May 24, 2016, one of her students was involved in "a
15 credible threat . . . to kill himself and the school's custodian by slitting the custodian's
16 throat and watching him bleed to death," and that she again complained to "District
17 administrators" in a series of emails on behalf of herself and her students. FAC ¶ 62.
18 Plaintiff asserts that when the District failed to provide assurance that the dangerous
19 student would not be returned to her class the following day, she wrote a letter to the
20 parents of her other students on her home computer, advising them that she was not
21 being supported in her work, and warning them of the danger posed by the violent
22 student. FAC ¶ 62. The body of that letter stated,

23 [W]hile your child is here at school she/he is being exposed to
24 frequent and prolonged bouts of violence that require the
25 class to hide behind bookshelves and evacuate the
26 classroom. There have been recent detailed threats to the
27 lives of students here and the adults who work here. The team
here at Grant has been doing its best to provide supports so
that this will stop. Our efforts have not been successful . . . I
am not being supported in trying to keep your child safe at this
time, and I have no doubt that the violence will continue.

28 FAC ¶ 63. Plaintiff added that if the parents had any questions or comments, they should

1 call the District office and ask to speak to the special education department. See Defs'
2 RJD, Exh. B. When plaintiff came to school on May 25, 2016, she asked one of her
3 classroom aides to place this letter to parents in her students' backpacks, left school for
4 the day, and also took the following day off as a sick day. FAC ¶ 64.

5 Plaintiff alleges that Van Vleck told an ETA staff representative that he intended to
6 seek legal advice and then was "going to do whatever [he] can" to discipline plaintiff
7 immediately following the series of May 24, 2016, complaints. FAC ¶ 66. Plaintiff was
8 placed on administrative leave on May 26, 2016, pending the results of an investigation
9 regarding the letter she had sent to parents and the issues raised on May 24, 2016. FAC
10 ¶ 67. Plaintiff asserts that on the same day, the second of the two subject students was
11 moved out of the SDC classroom for the rest of the school year. FAC ¶ 69.

12 Plaintiff asserts that on or about June 23, 2016, the Board voted to "non-reelect"
13 her (terminate her employment). FAC ¶ 70. She claims that during her meeting with Van
14 Vleck when she was informed of this decision, Van Vleck told her: "If this gets to the
15 media, it's going to be very bad for your career." FAC ¶ 71.

16 On July 28, 2016, plaintiff (through counsel) submitted a claim pursuant to the
17 California Tort Claims Act ("CTCA") to the District. FAC ¶ 75; see also Defs' RJD Exh. B.
18 On September 14, 2016, the District's claims administrator denied the claim, and advised
19 plaintiff that she had six months to file any lawsuit asserting state law claims against the
20 District. FAC ¶ 75.

21 Plaintiff filed the original complaint in this action in the Humboldt County Superior
22 Court on March 10, 2017. Named as defendants were the Eureka City Schools, the
23 District, Van Vleck, Ollivier, Davis, Duncan, Johnson, and Taplin. The original complaint
24 asserted four causes of action – a First Amendment free speech claim under 42 U.S.C.
25 § 1983, against the individual defendants for damages, and against all defendants for
26 injunctive relief; a free speech claim under Article I, Sec. 2 of the California Constitution,
27 against all defendants; a claim of wrongful termination in violation of public policy, against
28 all defendants; and a claim under the Bane Act, Cal. Civ. Code § 52.1, against Van Vleck

1 and the District.

2 On May 5, 2017, plaintiff filed a significantly longer FAC, with an expanded
3 "Factual Allegations" section, asserting 10 causes of action and adding Alexander as a
4 defendant. The FAC alleges (1) a claim of retaliation under the Americans With
5 Disabilities Act ("ADA"), 42 U.S.C. § 12203, against all defendants; (2) a claim of
6 retaliation under § 504 of the Rehabilitation Act, 29 U.S.C. § 794, against all defendants;
7 (3) a claim under Cal. Govt. Code § 11135, against all defendants; (4) a First Amendment
8 claim of retaliation and violation of free speech and associational rights under 42 U.S.C.
9 § 1983, against all defendants; (5) a free speech claim under Article 1, Sec. 2 of the
10 California Constitution, against all defendants; (6) a claim of retaliation for whistleblowing
11 under Cal. Labor Code § 1102.5, against all defendants; (7) a claim of retaliation under
12 Cal. Educ. Code § 44113(a), against Van Vleck and Alexander, and under § 44114(c),
13 against all defendants; (8) a claim of wrongful termination in violation of public policy,
14 against all defendants; (9) a First Amendment claim of "prior restraint" under § 1983,
15 against Eureka City Schools and Van Vleck; and (10) a claim under the Bain Act, Cal.
16 Civil Code § 52.1, against Eureka City Schools and Van Vleck.

17 Defendants removed the case to this court on June 6, 2017. They now seek an
18 order dismissing all causes of action with the exception of the sixth and a portion of the
19 seventh. They also seek an order dismissing Alexander on the basis that the claims
20 against her are time-barred.

21 DISCUSSION

22 A. Legal Standard

23 A motion to dismiss under Rule 12(b)(6) tests for the legal sufficiency of the claims
24 alleged in the complaint. Ileto v. Glock, 349 F.3d 1191, 1199-1200 (9th Cir. 2003).
25 Under the minimal notice pleading requirements of Federal Rule of Civil Procedure 8(a),
26 a complaint may be dismissed under Rule 12(b)(6) if the plaintiff fails to state a
27 cognizable legal theory, or has not alleged sufficient facts to support a cognizable legal
28 theory. Somers v. Apple, Inc., 729 F.3d 953, 959 (9th Cir. 2013).

1 While the court is to accept as true all the factual allegations in the complaint,
2 legally conclusory statements, not supported by actual factual allegations, need not be
3 accepted. Ashcroft v. Iqbal, 556 U.S. 662, 678-79 (2009); see also In re Gilead Scis.
4 Secs. Litig., 536 F.3d 1049, 1055 (9th Cir. 2008). The complaint must proffer sufficient
5 facts to state a claim for relief that is plausible on its face. Bell Atl. Corp. v. Twombly, 550
6 U.S. 544, 555, 558-59 (2007). A claim has facial plausibility when the plaintiff pleads
7 facts that allow the court to draw the reasonable inference that the defendant is liable for
8 the misconduct alleged. Iqbal, 556 U.S. at 678. Where dismissal is warranted, it is
9 generally without prejudice, unless it is clear the complaint cannot be saved by any
10 amendment. Sparling v. Daou, 411 F.3d 1006, 1013 (9th Cir. 2005).

11 Review is generally limited to the contents of the complaint, although the court can
12 also consider a document on which the complaint relies if the document is central to the
13 claims asserted in the complaint, and no party questions the authenticity of the
14 document. See Sanders v. Brown, 504 F.3d 903, 910 (9th Cir. 2007). That is, the court
15 may consider matters that are properly the subject of judicial notice, Knievel v. ESPN,
16 393 F.3d 1068, 1076 (9th Cir. 2005); Lee v. City of L.A., 250 F.3d 668, 688-89 (9th Cir.
17 2001), and may also consider exhibits attached to the complaint, see Hal Roach Studios,
18 Inc. v. Richard Feiner & Co., Inc., 896 F.2d 1542, 1555 n.19 (9th Cir. 1989), and
19 documents referenced extensively in the complaint and documents that form the basis of
20 a the plaintiff's claims, see No. 84 Emp'r-Teamster Jt. Counsel Pension Tr. Fund v. Am.
21 W. Holding Corp., 320 F.3d 920, 925 n.2 (9th Cir. 2003).

22 B. Defendants' Motion

23 Defendants make 13 main arguments in support of their motion.

24 1. Dismissal of ADA and § 504 claims against individual defendants

25 The first and second causes of action for retaliation in violation of the ADA and
26 § 504 of the Rehabilitation Act are asserted against "all defendants." The ADA prohibits
27 disability discrimination in three areas: employment, public services, and public
28 accommodations. Subchapter I of the ADA ("Title I"), which prohibits discrimination on

1 account of disability in employment, covers the same employers and provides the same
2 remedies contained in Title VII of the Civil Rights Act of 1964, as amended, 42 U.S.C.
3 § 2000e(b). See 42 U.S.C. §§ 12111-12117. Subchapter II ("Title II") bars discrimination
4 by any state or local government entity (that is, discrimination in public services) and
5 affords the remedies outlined in Section 504 of the Rehabilitation Act of 1973, 29 U.S.C.
6 § 794. See 42 U.S.C. §§ 12131-12165. Subchapter III ("Title III") prohibits discrimination
7 by public accommodations and incorporates the remedies of Title II of the Civil Rights Act
8 of 1964, 42 U.S.C. § 2000a-3(a). See 42 U.S.C. §§ 12181-12189. In addition,
9 Subchapter IV sets forth various miscellaneous provisions, see 42 U.S.C. §§ 12201-
10 12213, one of which is the anti-retaliation provision, 42 U.S.C. § 12203.

11 Section 504 of the Rehabilitation Act prohibits discrimination on the basis of
12 disability under any program or activity receiving Federal financial assistance. See 29
13 U.S.C. § 794(a). The term "program or activity" as used in this section means "all the
14 operations of a local educational agency." 29 U.S.C. § 794(b)(2)(B). There is nothing in
15 the text of § 504 that specifically addresses retaliation, but it is generally accepted that
16 what is forbidden under § 504 includes retaliation for exercise of protected rights. Courts
17 have held that there is no significant difference in the analysis of rights and obligations
18 created by the ADA and § 504 of the Rehabilitation Act. See Vinson v. Thomas, 288
19 F.3d 1145, 1152 n. 7 (9th Cir. 2002); see also McGary v. City of Portland, 386 F.3d 1259,
20 1269 n. 7 (9th Cir. 2004).

21 There is no individual liability for damages under the ADA or § 504. See, e.g.,
22 Eason v. Clark Cnty. Sch. Dist., 303 F.3d 1137, 1144 (9th Cir. 2002); Parenteau v.
23 Prescott Unified Sch. Dist., 2009 WL 536668 at *6 (D. Ariz. Mar. 3, 2009). The Ninth
24 Circuit has not addressed the issue of whether there is individual liability for retaliation for
25 opposing acts that violate the ADA.

26 Defendants argue that to the extent the retaliation claims are asserted against the
27 individual defendants, they must be dismissed because there is no individual liability
28 under the ADA, or under § 504, which incorporates by reference the anti-retaliation

1 provision of the ADA.

2 In opposition, plaintiff asserts that there is individual liability for claims of retaliation
3 under the ADA and § 504. Plaintiff notes that the Ninth Circuit has not yet addressed the
4 question, but argues that the court should adopt the reasoning of the Eleventh Circuit in
5 Shotz v. City of Pleasanton, Fla., 344 F.3d 1161 (11th Cir. 2003).

6 The motion is DENIED. For the reasons that follow, this court is persuaded by the
7 Eleventh Circuit's exhaustive analysis. Under the ADA's anti-retaliation provision, “[n]o
8 person shall discriminate against any individual because such individual has opposed
9 any act or practice made unlawful by this chapter . . .” 42 U.S.C. § 12203(a). Unlike
10 other provisions of the ADA, this anti-retaliation provision extends liability for retaliation to
11 any “person,” which is defined elsewhere in the statute to “include one or more
12 individuals.” See 42 U.S.C. § 12111(7) (referring to definition of “person” in 42 U.S.C.
13 § 2000e(a)).

14 In Shotz, the court held that individual defendants may be held personally liable
15 under the ADA's anti-retaliation provision where the underlying conduct was made
16 unlawful by ADA provisions concerning public services (ADA Subchapter II), see id., 344
17 F.3d at 1186, which is the claim asserted here. The court examined the text and plain
18 meaning of the provision, and its legislative history and purpose. See id. at 1169-77. The
19 court began by recognizing that the language of § 12203(a), forbidding retaliation by
20 “persons,” imposed a duty on individuals “to refrain from such conduct.” Id. at 1168. The
21 court reasoned that the fact that the statute “imposes such a duty on a class of actors
22 does not compel the further conclusion that individual members of that class are
23 amenable to private suit or otherwise liable for a breach of that duty.” Id.

24 To determine whether individuals were liable for retaliation, the Shotz court turned
25 to the applicable enforcement provision of ADA Title II, incorporating the remedies of Title
26 VI of the 1964 Civil Rights Act, 42 U.S.C. §§ 2000e-5(f)-(k). See Barnes v. Gorman, 536
27 U.S. 181, 184-85 (2002). Title VI provides that no person shall be excluded from
28 participation or subjected to discrimination by any program or activity receiving federal

1 funds. 42 U.S.C. §§ 2000d. Although the statute contains no private right of action, one
2 has been implied that allows for compensatory damages and injunctive relief. Barnes,
3 536 U.S. at 185-87. Because Title VI is an exercise of the Congress's Spending Power,
4 courts have interpreted Title VI to impose liability only upon those who actually receive
5 federal funds for the program or activity at issue and have, therefore, held that individuals
6 are ordinarily not liable under the statute. See Shotz, 344 F.3d at 1169-70.

7 Shotz found that, because Title VI did not reach individuals unless they could be
8 held to be recipients of federal funds, there was a conflict between the broad language of
9 § 12203(a) imposing liability upon all "persons" and the applicable enforcement
10 provisions of § 12203(c) that incorporated Title VI's remedies. Shotz described the
11 conflict as follows: "Did Congress intend the rights-and duty-creating language in the
12 ADA anti-retaliation provision to, itself, countenance liability against individuals for its
13 violation, or did Congress intend the remedies available for Title VI violations to control
14 exclusively the type of relief available as well as the appropriate scope of liability?" Id. at
15 1171.

16 The court expressed concern that if the remedies of Title VI governed the scope of
17 liability for retaliation involving public services under the ADA, the result might deviate
18 considerably from the ADA's intent and purpose. Unlike Title VI, the ADA was not
19 enacted under the spending power and was intended to reach all "public entities,"
20 regardless of whether they received federal funds. The court also noted that limiting the
21 ADA's retaliation provision to only recipients of federal funds might make it duplicative of
22 the Rehabilitation Act, 29 U.S.C. § 794, which similarly prohibits disability discrimination
23 by entities receiving federal funding. Id. at 1174.

24 After considering both the text and the legislative history of the ADA, the Shotz
25 court concluded that "[b]ecause neither the plain language, nor the statutory structure,
26 legislative history, and purpose are helpful," § 12203 is "inscrutable" and "Congress's
27 intent cryptic and imprecise." Id. at 1177. Thus, the court turned to the interpretation of
28 § 12203 by the Department of Justice ("DOJ"), giving due deference to that interpretation

1 under Chevron U.S.A., Inc. v. Natural Res. Def. Council, Inc., 467 U.S. 837, 842-43
2 (1984).

3 The court determined that the DOJ's construction of § 12203 – that "individuals
4 acting in their individual capacities [are] amenable to private suit" – survived the
5 appropriate threshold of judicial scrutiny and was also a reasonable interpretation of the
6 statute. Shotz, 344 F.3d at 1178-80 (citing 28 C.F.R. § 35.134, which is found within
7 "Nondiscrimination on the Basis of Disability in State and Local Government," 28 C.F.R.
8 Part 35; also citing 56 Fed. Reg. 35,696, 35,707 (July 26, 1991) ("Preamble to
9 Regulations on Nondiscrimination on the Basis of Disability in State and Local
10 Government Services," "Section-by-Section Analysis.")).

11 The court noted that "[t]he text of § 12203 sets out both rights- and duty-creating
12 language," and observed that "we cannot say that Congress intended to preclude
13 individual liability based on the remedies available under Title VI." Id. at 1179. The court
14 concluded that "we must defer to the regulations." Id. Accordingly, the court held that
15 "an individual may be sued privately in his or her personal capacity for violating § 12203
16 in the public services context." Id. at 1180.

17 As the Shotz court explained in some detail, there is a clear conflict between this
18 language and the remedial provision relating to retaliation claims in the public services
19 context, which is derived from Title VI, with the result that the statute is truly "inscrutable."
20 Where no clear meaning can be extracted from the plain language of the statute or the
21 legislative history, it is reasonable for the court to defer to the agency interpretation. See
22 Chevron, 467 U.S. at 842-43. "Congress expressly authorized the Attorney General to
23 make rules with the force of law interpreting and implementing the ADA provisions
24 generally applicable to public services." Shotz, 344 F.3d at 1179 (citing 42 U.S.C.
25 § 12134(a)). The DOJ issued its rules governing the implementation of these provisions
26 using conventional notice-and-comment rulemaking procedures. See id. The Shotz
27 court found the relevant rule interpreting the ADA's anti-retaliation provision to be
28 deserving of deference, and to be reasonable as well. Id.

1 The cases cited by defendants are distinguishable because they either involve
2 retaliation in the employment context (Title I) rather than public services context (Title II),
3 or rely on cases in the employment context, thereby conflating claims under the two titles
4 of the ADA. Public services retaliation claims are analyzed differently with respect to
5 individual liability because the remedies available in the public services context differ
6 from those available in the employment context. See Shotz, 344 F.3d at 1165-80; see also Datto v. Harrison, 664 F.Supp. 2d 472, 491-92 (E.D. Pa. 2009) (courts considering
7 ADA retaliation claims in the employment context look to Title VII remedies, whereas
8 courts examining retaliation claims involving public entities look to Title VI).

9
10 For example, in Baird v. Rose, 192 F.3d 462 (4th Cir.1999), the Fourth Circuit
11 relied on precedent from employment discrimination disputes that prohibit individual
12 liability for retaliation under the ADA. See id. at 471 (relying on the remedies provided by
13 the ADA “in the employment context” to preclude individual liability in the public services
14 context). The Shotz court criticized this approach for failing to recognize that the public
15 services context is distinct from the employment context. Id., 344 F.3d at 1174.

16 In Stern v. Calif. State Archives, 982 F.Supp. 690 (E.D. Cal. 1997), the court held
17 that a plaintiff cannot maintain an ADA retaliation claim in the employment context
18 against individual defendants who do not otherwise satisfy the definition of “employer.”
19 Id. 692-93. The court distinguished the remedies available, which depended on “whether
20 the alleged retaliation occurred with respect to employment, public services, or public
21 accommodations[,]” and noted that in the employment context, Title VII provided the
22 applicable remedial provision. Id. at 693. Here, as discussed at length in Shotz, it is Title
23 VI that provides the applicable remedial provision.

24 Alternatively, defendants cite cases in which the courts simply ignore the conflict
25 between the use of the term “person” in § 12203(a) and the remedial provision applicable
26 to claims in the public services context, suggesting that only recipients of federal funds
27 can be liable for retaliation. For example, defendants cite B.K. v. Lake Oswego Sch.
28 Dist., 2012 WL 844222 (D. Or. March 12, 2012), where the court concluded that despite

1 the use of the term "person" in § 12203(a), a cause of action under the ADA for retaliation
2 in the public services context does not lie against a private individual in his or her
3 personal capacity, because § 12203(c) "simply incorporates the remedies and
4 procedures that would be available under Title II of the ADA in this context." Those
5 remedies are a lawsuit against either a "public entity," or a "head of department, agency,
6 or unit sued in his official capacity," rather than a lawsuit against an individual in his or
7 her personal capacity. B.K., 2012 WL844222 at *2-3 (citations and quotations omitted).

8 In the absence of Ninth Circuit authority, the court finds that the Shotz analysis
9 provides the most pertinent guidance, at least at this stage of the litigation. The motion to
10 dismiss the ADA and § 504 claims asserted against the individual defendants is DENIED
11 on this ground.

12 2. Dismissal of punitive damages claims against entity defendants

13 The FAC seeks punitive damages in connection with the first (ADA), second
14 (§ 504), fourth (§ 1983 First Amendment free speech and retaliation), fifth (California
15 Constitution free speech), seventh (Educ. Code §§ 44113(a) and 44114(c)), eighth
16 (wrongful termination in violation of public policy), ninth (§ 1983 free speech/prior
17 restraint), and tenth (Bane Act) causes of action. The first, second, fifth, and eighth
18 causes of action are asserted against "all defendants," as is the § 44113(c) portion of the
19 seventh cause of action. The fourth cause of action is asserted against the individual
20 defendants as to damages, and against "all defendants" as to all other relief. The ninth
21 and tenth causes of action are asserted against Van Vleck and the District only.

22 Defendants argue that punitive damages are not available under Title II of the ADA
23 or § 504 of the Rehabilitation Act (first and second causes of action), and contend that
24 the punitive damages claims asserted against the entity defendants generally should be
25 dismissed because under California Government Code § 818, punitive damages are not
26 available against public entities in California. Accordingly, defendants argue, to the
27 extent plaintiff is seeking punitive damages under the ADA or § 504, or against the public
28 entities generally, those claims (or allegations) should be dismissed.

1 In opposition, plaintiff concedes that punitive damages are not available against
2 the entity defendants under the ADA or § 504, and are also not available under most of
3 the remaining causes of action, but she maintains that punitive damages are available
4 against public entities under Education Code § 44114.

5 Under § 44114(c), "a person who intentionally engages in acts of reprisal,
6 retaliation, threats, coercion or similar acts against a public school employee . . . for
7 having made a protected disclosure shall be liable in an action for damages brought
8 against him or her by the injured party." Cal. Educ. Code § 44114(c). For purposes of
9 this Act, the term "person" includes any individual, state or local government, or agency
10 or instrumentality thereof. Id. § 44114(d). "Punitive damages may be awarded by the
11 court where the acts of the offending party are proven to be malicious." Id. § 44114(c).

12 Government Code § 818 provides, "Notwithstanding any other provision of law, a
13 public entity is not liable for damages awarded under Section 3294 of the Civil Code or
14 other damages imposed primarily for the sake of example and by way of punishing the
15 defendant." Cal. Gov't Code § 818. Plaintiff recognizes that § 818 generally precludes
16 punitive damages against a public entity, but claims that that rule applies only in the
17 absence of a statute that expressly authorizes such damages.

18 The motion is GRANTED as to the claims under the ADA and Rehabilitation Act,
19 based on plaintiff's lack of opposition. As for the Education Code claim, the court
20 disagrees with plaintiff's assertion that the language in § 44114(c), together with the
21 language in § 44112, defining "persons" to include public entities, expresses the
22 Legislature's intent to override the immunity against punitive damages set forth in
23 Government Code § 818. Plaintiff cites no authority for this proposition, and at least one
24 court has held to the contrary. See Elliott v. Amador Cty. Unified Sch. Dist., 2012 WL
25 2798811, at *7 (E.D. Cal. 2012).

26 Section 818 specifically and unambiguously prohibits awarding punitive damages
27 against a public entity – "[n]otwithstanding any other provision of law." The phrase
28 "[n]otwithstanding any other provision of law" means that no other provision of law can be

1 used to impose punitive damages on a public entity. Thus, it is irrelevant that § 44114(c)
2 contains a punitive damages provision or that § 44112 defines "persons" to include public
3 entities. The motion to dismiss is GRANTED on this ground as well.

4 3. Dismissal of ADA and § 504 claims on ground that plaintiff did not oppose
5 disability discrimination under either Act

6 Defendants argue that the ADA and § 504 claims must be dismissed because
7 plaintiff did not oppose discrimination under either Act. They contend that plaintiff's
8 complaints to administrators and letters to parents were not in "opposition" to disability
9 discrimination made unlawful under the ADA or § 504, but rather were complaints
10 regarding her own safety and that of her students. Defendants assert further that to the
11 extent plaintiff's theory is that she was retaliated against for advocating that disabled
12 students be placed in a more restrictive environment, she has not stated a claim for
13 retaliation under the ADA and § 504, because arguing for placement in a more restrictive
14 environment cannot be considered opposition to disability discrimination.

15 In response, plaintiff contends that advocating for students with disabilities on
16 issues related to their educational rights and protesting discrimination against them
17 constitute protected activity under both statutes. She also argues that, contrary to
18 defendants' assertion, her complaints were not limited to "safety" issues. She asserts
19 that while she did complain about safety, she has alleged in detail that she complained
20 numerous times to the ETA (her union), District administrators, Board members, County
21 officials, and the SELPA, consistently making clear her belief that two of her students
22 required greater support and a more restrictive placement and that the District's failure to
23 provide them with an appropriate education was violating their rights and those of her
24 other students with disabilities. She points to numerous instances of advocacy on behalf
25 of the two students with dangerous behavior and the other students with disabilities in her
26 class, including the allegations in FAC ¶¶ 26, 32, 37, 40, 43, 46, 48, 50, 55-56, 60, 61-63.

27 The motion is DENIED. To establish a prima facie claim of retaliation under either
28 the ADA or the Rehabilitation Act, a plaintiff must demonstrate that (1) she engaged in

1 protected activity; (2) she suffered a materially adverse employment action; and (3) there
2 exists a causal connection between the protected activity and the adverse employment
3 action. Pardi v. Kaiser Found. Hosp., 389 F.3d 840, 849 (9th Cir. 2004). Defendants'
4 argument here is directed at the first element – whether plaintiff has adequately alleged
5 she engaged in protected activity.

6 Courts have made clear that advocating for students with disabilities on issues
7 related to their educational rights and protesting discrimination against them constitutes
8 protected activity under both § 504 and the ADA. See Barker v. Riverside Office of
9 Educ., 584 F.3d 821, 824-28 (9th Cir. 2009); see also Reinhardt v. Albuquerque Pub.
10 Sch. Bd. of Educ., 595 F.3d 1126, 1132 (10th Cir. 2010); Ray v. Henderson, 217 F.3d
11 1234, 1240 n.3 (9th Cir. 2000).

12 Here, the court finds that the FAC adequately alleges – for purposes of the present
13 motion – that plaintiff complained that the District was not complying with its obligations to
14 her students under the ADA and § 504 (and by implication, the IDEA).

15 4. Dismissal of Government Code § 11135 claim

16 In the third cause of action, plaintiff asserts a claim under Government Code
17 § 11135 against "all defendants." Section 11135, which has been labeled "the California
18 equivalent of the ADA," see C.S. v. Pub. Safety Acad. of San Bernardino, 2014 WL
19 12591181 at *5 (C.D. Cal. Nov. 26, 2014), and also as "identical to the Rehabilitation Act,
20 except the entity must receive State financial assistance" rather than federal financial
21 assistance, see Y.G. v. Riverside Unified Sch. Dist., 774 F.Supp. 2d 1055, 1065 n.6 (C.D.
22 Cal. 2011), prohibits all entities that receive financial assistance from the State of
23 California from discriminating on the basis of disability.

24 Defendants contend that this claim must be dismissed because plaintiff has not
25 alleged that she has a "disability" or that she was "subjected to discrimination" because
26 of that disability, as required by § 11135. They assert that this claim fails for the further
27 reason that claims under § 11135 require exhaustion of administrative remedies before a
28 civil suit can be brought, and plaintiff has failed to allege facts showing compliance with

1 22 Cal. Code Regs. § 98003.

2 In opposition, plaintiff argues that because § 11135 is identical to the
3 Rehabilitation Act except that the entity must receive State financial assistance, and
4 because it is also coextensive with the ADA, see Cal. Gov't Code § 11135(b), a public
5 entity that receives state funding necessarily violates § 11135 if it has also violated the
6 ADA or the Rehabilitation Act.

7 With regard to defendants' assertion that the § 11135 claim fails because plaintiff
8 has not alleged that she has a disability or was subjected to discrimination because of a
9 disability, plaintiff responds that defendants have misconstrued the nature of her claim,
10 which is that she was retaliated against because she opposed discrimination against her
11 students with disabilities.

12 She asserts that the anti-retaliation provisions of the Rehabilitation Act and the
13 ADA grant standing to non-disabled individuals who are retaliated against for attempting
14 to protect the rights of people with disabilities, and claims that the same is true with
15 respect to § 11135 because the statute provides all the same protections afforded by the
16 Rehabilitation Act and the ADA.

17 The court finds that the motion must be GRANTED. The problem with plaintiff's
18 argument is that she is alleging retaliation in this case, not discrimination. Section 11135
19 does not afford litigants a retaliation cause of action for reporting or advocating against
20 discrimination of others, but rather prohibits the denial of benefits and/or discrimination
21 "on the basis . . . of disability . . ." Plaintiff does not allege that she is disabled, and has
22 cited no authority indicating that the retaliation provision applicable to claims under the
23 ADA or Rehabilitation Act applies to transform § 11135 into an anti-retaliation statute.
24 Nor has she suggested any way in which this claim could be amended to state a claim
25 under § 11135. The court thus finds it unnecessary to address the exhaustion issue.

26 5. Dismissal of § 1983 claims against District and individual defendants in
27 their official capacities

28 Defendants seek dismissal of the fourth and ninth (§ 1983) causes of action

1 against the District and the individual defendants in their official capacities. Defendants
2 contend that these claims must be dismissed because the District and the individual
3 defendants acting in their official capacities are not "persons" under § 1983.

4 Plaintiff concedes that she cannot assert claims for damages under § 1983 against
5 the entity defendants or the individual defendants in their official capacities. She asserts
6 that she seeks only prospective injunctive relief against the entity defendants and the
7 individual defendants in their official capacities, and that she seeks damages only against
8 the individual defendants in their individual capacities.

9 The motion to dismiss the § 1983 damages claims against the District and the
10 individual defendants in their official capacities (fourth and ninth causes of action) is
11 GRANTED. Section 1983 does not apply to states – irrespective of the 11th Amendment.
12 See Will v. Mich. Dept. of State Police, 491 U.S. 58, 66-71 (1989). Further, "a suit
13 against a state official in his or her official capacity is not a suit against the official but
14 rather is a suit against the official's office." Id. at 71.

15 In California, a school district is a "state agency." See Belanger v. Madera Unified
16 Sch. Dist., 963 F.2d 248, 251 (9th Cir. 1992); see also Sato v. Orange Cnty. Dep't of
17 Educ., 861 F.3d 923, 934 (9th Cir. 2017). Thus, neither school districts nor school district
18 employees sued in their official capacity are "persons" within the meaning of § 1983, and
19 for that reason are not subject to liability for damages. See, e.g., Chadam v. Palo Alto
20 Unified Sch. Dist., 2014 WL 325323 at *3 (N.D. Cal. Jan. 29, 2014).

21 6. Dismissal of § 1983 retaliation claim to the extent predicated on violations
22 of ADA and § 504

23 In the fourth cause of action, plaintiff asserts a § 1983 First Amendment retaliation
24 claim against the individual defendants as to damages and against "all defendants" as to
25 "all other relief." Defendants assert that the alleged protected speech identified by
26 plaintiff in the FAC is confined to two subjects – "safety in the workplace," and "disability
27 discrimination." They argue that to the extent this cause of action is premised on
28 retaliation for plaintiff's alleged opposition to disability discrimination – i.e., discrimination

1 against her special education students – it should be dismissed. Defendants argue that
2 while this claim is framed as a First Amendment retaliation claim, it appears to be
3 predicated on alleged violations of the ADA and § 504, which is not permissible.

4 In opposition, plaintiff contends that defendants' argument – that the claim should
5 be dismissed to the extent it is premised on retaliation for opposing disability
6 discrimination – misconstrues the nature of her claim, in which she is asserting her
7 independent right to free speech and association under the First Amendment.

8 The motion is DENIED. Plaintiff concedes that a § 1983 claim cannot be
9 predicated on violations of the ADA or § 504. See Vinson v. Thomas, 288 F.3d 1145,
10 1155-56 (9th Cir. 2002) (holding that because Congress provided a comprehensive
11 remedial scheme for individual suits under both Title II and the Rehabilitation Act, a
12 plaintiff cannot enforce Title II rights through § 1983). However, plaintiff's fourth cause of
13 action alleges a constitutional violation that is "independent" of the ADA and § 504 – a
14 claim under the First Amendment that she was retaliated against for speaking out on
15 matters of public concern. The fact that the FAC identifies these matters of public
16 interest as the safety of teachers and students, and disability discrimination, see FAC
17 ¶ 118, does not necessarily mean that she is seeking to assert a claim under § 504 and
18 Title II under the guise of § 1983.

19 The Ninth Circuit's decision in Stilwell v. City of Williams, 831 F.3d 1234 (9th Cir.
20 2016) provides guidance. In that case, the court held that the retaliation provision of the
21 Age Discrimination in Employment Act did not preclude the plaintiff city employee's
22 § 1983 claim of retaliation under the First Amendment based on allegations that he was
23 terminated for planning to testify against his employer in a lawsuit relating to age
24 discrimination. The court found that in comparison with the First Amendment, the
25 ADEA's retaliation provision provided less protection to victims of retaliation, did not allow
26 for suit against individuals, required plaintiffs to bear a heavier burden of proof, and
27 excluded certain remedies. Id. at 1246-48. The court concluded that "if there are
28 differences in the protections offered by the statute as compared to those provided by the

1 Constitution . . . we will not hold § 1983 suits to be precluded unless Congress
2 manifested an intent to preclude." Id. at 1248 (citing Fitzgerald v. Barnstable Sch. Comm., 555 U.S. 246, 259 n.2 (2009)).

4 7. Dismissal of § 1983 First Amendment retaliation claim

5 In the fourth cause of action, plaintiff asserts a First Amendment retaliation claim
6 against "all defendants," alleging that defendants retaliated against her, including by
7 terminating her employment, for engaging in protected speech regarding matters of
8 public concern.

9 To state a First Amendment retaliation claim against a public employer, an
10 employee must show that (1) he/she engaged in constitutionally protected speech; (2) the
11 employer took "adverse employment action" against the employee; and (3) the
12 employee's speech was a "substantial or motivating factor for the adverse action." See
13 Lakeside-Scott v. Multnomah Cnty, 556 F.3d 797, 803 (9th Cir. 2009) (citations and
14 quotations omitted). The Ninth Circuit has established a five-part test for analyzing
15 whether a public employee's speech is protected. The court asks

- 16 (1) whether the plaintiff spoke on a matter of public concern;
17 (2) whether the plaintiff spoke as a private citizen or public
employee; (3) whether the plaintiff's protected speech was a
substantial or motivating factor in the adverse employment
action; (4) whether the state had an adequate justification for
treating the employee differently from other members of the
general public; and (5) whether the state would have taken
the adverse employment action even absent the protected
speech.

21 Dahlia v. Rodriguez, 735 F.3d 1060, 1067 (9th Cir. 2013) (en banc).

22 Defendants argue that this claim must be dismissed because plaintiff has not
23 alleged facts sufficient to support the elements of the claim. Specifically, defendants
24 claim that the subject communications were not protected speech because plaintiff was
25 speaking solely in her role as a public employee, and that the communications were thus
26 not protected by the First Amendment.

27 Defendants argue that the facts in this case are similar to those in Coomes v.
28 Edmonds Sch. Dist., 816 F.3d 1255 (9th Cir. 2016). In that case, a teacher raised

1 concerns in emails to District staff and to parents regarding what she believed to be the
2 premature transfer of disabled students into “mainstream” classes. Id. at 1257-59. The
3 emails addressed purported “illegal and improper treatment of vulnerable students in the
4 public school system” and “bullying and harassment by Meadowdale administrators in
5 retaliation for taking a stand.” Id. at 1262. The district court granted the defendants’
6 motion for summary judgment on the ground that the communications were not protected
7 speech, and that ruling was affirmed by the Ninth Circuit. Id. at 1265.

8 The Ninth Circuit concluded that the emails to District personnel were not
9 protected speech because they were raised up the teacher’s “chain of command.” Id. at
10 1262-64. As for the communications to the parents, the Ninth Circuit held that, although
11 clearly outside of her chain of command, communicating with parents about students’ IEP
12 and their progress in the EBD program was part of her job. Id. at 1264 (citing Lane v.
13 Franks, 134 S.Ct. 2369, 2379 (2014); Garcetti v. Ceballos, 547 U.S. 410, 421-24 (2006)).

14 Here, defendants assert, neither the letter to the parents nor the other
15 communications with administrators constitute protected speech because the subject
16 matters discussed were related directly to plaintiff's job duties as a teacher, and owe their
17 existence to her position as a teacher. Moreover, defendants contend, the fact that
18 plaintiff in her letter to the parents advised them to call the District if they had any
19 questions or comments simply underscores the conclusion that it was not protected
20 speech, because plaintiff wrote the letter in her capacity as a teacher at Grant
21 Elementary. Accordingly, defendants argue, plaintiff has failed to state a plausible claim
22 for First Amendment retaliation as a matter of law, and the fourth cause of action should
23 be dismissed.

24 In opposition, plaintiff asserts that she has adequately alleged a cause of action for
25 retaliation for her exercise of free speech rights. She notes defendants have focused
26 solely on the second element of this test – whether the speech at issue was undertaken
27 as a private citizen or public employee – and argues that this is a fact-specific inquiry and
28 that it is therefore rarely, if ever, appropriate to grant a motion to dismiss on this basis.

1 She asserts that she has alleged that she voiced her concerns to numerous individuals
2 outside of her chain of command – including not only parents, but also the Director of the
3 SELPA, the County Coordinator for the BTSA program, the Board, and her union – and
4 that her complaints spanned an entire academic year and encompassed special
5 education violations, discrimination, and safety issues. She argues that the fact that
6 some communications with her supervisors regarding some special education issues
7 might have been within the scope of her job duties does not mean that all her
8 communications, particularly to individuals and entities outside the District, are
9 unprotected.

10 The motion is DENIED. The court finds that this issue is not appropriate for
11 decision in a 12(b)(6) motion. For example, it is difficult to determine, without a fully-
12 developed record, what constituted plaintiff's "job duties" and thus, whether the
13 communications plaintiff made were or were not within the scope of her job duties. In
14 addition, questions remain about the content and message of plaintiff's communications,
15 and who was aware of them, and whether they were a factor in her termination. It is true
16 that she was a public employee, but it is not necessarily true that every communication
17 she made during the course of her employment by the District should be considered a
18 protected communication related to her job duties. The parties may revisit this issue in
19 the event they seek summary judgment.

Defendants contend that the § 1983 claims asserted against the individual defendants must be dismissed on grounds of qualified immunity. “The doctrine of qualified immunity protects government officials ‘from liability for civil damages insofar as their conduct does not violate clearly established statutory or constitutional rights of which a reasonable person would have known.’” Pearson v. Callahan, 555 U.S. 223, 231 (2009) (quoting Harlow v. Fitzgerald, 457 U.S. 800, 818 (1982)). In determining whether the defense of qualified immunity applies, the court must determine, first, whether the

1 plaintiff has alleged or shown a violation of a constitutional right, and second, whether the
2 right at issue was clearly established at the time of defendant's alleged misconduct.
3 Pearson, 555 U.S. at 232 (citing Saucier v. Katz, 533 U.S. 194, 201–02 (2001)). Those
4 steps may be analyzed in any order. Id. at 236.

5 Defendants argue that qualified immunity applies to the individual defendants
6 because (in their view) plaintiff's communications to the District administrators and
7 parents were not protected communications under the First Amendment. And, they add,
8 even assuming that plaintiff has stated a claim based on an individual defendant's
9 violation of her First Amendment rights (which they dispute), there is no clearly-
10 established authority that would have put the individual defendants on notice that any of
11 plaintiff's alleged communications constituted protected speech. Accordingly, they argue,
12 plaintiff's fourth cause of action for violation of § 1983 should be dismissed on the basis
13 of qualified immunity as to the individual defendants.

14 In opposition, plaintiff argues that the individual defendants have not established
15 that they are entitled to qualified immunity. As a preliminary matter, she asserts that
16 qualified immunity does not affect her claims for injunctive relief, and thus, that the
17 individual defendants "should not be dismissed." Second, she contends that defendants
18 who choose to raise qualified immunity prior to filing an answer must accept that the court
19 is required, in ruling on a 12(b)(6) motion to dismiss, to accept the complaint's allegations
20 as true.

21 Next, she argues that in the Ninth Circuit, where a plaintiff is a government
22 employee claiming violations of her First Amendment speech rights, the question of
23 qualified immunity turns on (1) whether the plaintiff's speech involved a matter of public
24 concern, and (2) whether the interests served by allowing the plaintiff to express
25 himself/herself outweighed the state's interest in promoting workplace efficiency and
26 avoiding workplace disruption. See Settlegoode v. Portland Public Schs, 371 F.3d 503,
27 513. Here, she asserts, there are no allegations in the complaint that could support the
28 conclusion that defendants' interest in promoting workplace efficiency and avoiding

1 workplace disruption ("a fact-based inquiry") outweighs her free speech rights.

2 The motion is DENIED. Qualified immunity is an affirmative defense to liability for
3 damages. See Crawford-El v. Britton, 523 U.S. 574, 587 (1998); Am. Fire, Theft &
4 Collision Mgrs, Inc. v. Gillespie, 932 F.2d 816, 818 (9th Cir. 1991). An affirmative
5 defense may be upheld on a 12(b)(6) motion only when it is established on the face of
6 the complaint. See Rivera v. Peri & Sons Farms, Inc., 735 F.3d 892, 902 (9th Cir. 2013);
7 see also Jones v. Block, 549 U.S. 199, 215 (2007). Where a defendant asserts qualified
8 immunity in a 12(b)(6) motion, dismissal is not appropriate unless the court can
9 determine, based on the complaint itself, that qualified immunity applies. O'Brien v.
10 Welty, 818 F.3d 920, 936 (9th Cir. 2016); Groten v. Calif., 251 F.3d 844, 851 (9th Cir.
11 2001).

12 Plaintiff is correct that when a defendant seeks dismissal of federal constitutional
13 claims based on a defense of qualified immunity under Rule 12(b)(6), the court must
14 accept all allegations of material fact as true – within the limits established by the U.S.
15 Supreme Court in Iqbal and Twombly. Where government officials are sued in their
16 individual capacities for civil damages, the court must begin "by taking note of the
17 elements a plaintiff must plead to state a claim . . . against officials entitled to assert the
18 defense of qualified immunity. Iqbal, 556 U.S. at 675. Government officials are entitled
19 to qualified immunity unless the plaintiff can allege facts showing the violation of a
20 "clearly established" constitutional right. See Pearson, 555 U.S. at 232.

21 In this case, however, the two § 1983 First Amendment causes of action raise
22 disputed issues of fact which cannot be resolved in a Rule 12(b)(6) motion. Indeed, the
23 Ninth Circuit has recognized that in the context of the First Amendment, "[w]hether a
24 public employee's speech is constitutionally protected turns on a context-intensive, case-
25 by-case balancing analysis, and thus, the law regarding such claims will rarely, if ever, be
26 sufficiently 'clearly established' to preclude qualified immunity." Dible v. City of Chandler,
27 515 F.3d 918, 930 (9th Cir. 2008).

28 In the present case, it is also difficult to tell which part of the § 1983 claims

1 defendants are arguing provides them with qualified immunity – or whether it is just as to
2 the § 1983 claims generally. Given the existence of disputed facts with regard to the
3 § 1983 claim(s), the court finds that a decision regarding qualified immunity is not
4 appropriate at this stage of the litigation. Defendants may again move for qualified
5 immunity if they file a motion for summary judgment.

6 9. Dismissal of claims for injunctive relief

7 In the Prayer for Relief, plaintiff seeks injunctive relief requiring defendants to
8 "reinstate [her] to her position as an employee of the District, with full seniority rights and
9 benefits[.]" In addition, plaintiff alleges entitlement to "declaratory and injunctive relief" in
10 the third cause of action under § 11135, and entitlement to "reinstatement" in the sixth
11 cause of action under Labor Code § 1102.5. However, she does not request injunctive
12 relief as to any other specific cause of action.

13 Defendants argue that the claims for injunctive relief should be dismissed because
14 there is no substantial likelihood that plaintiff will be wronged in a similar way in the
15 future. In order to seek injunctive relief, a plaintiff must demonstrate "a sufficient
16 likelihood that he will again be wronged in a similar way." Fortyune v. Am. Multi-Cinema,
17 Inc., 364 F.3d 1075, 1081 (9th Cir. 2004) (quotation and citation omitted). Defendants
18 contend that because plaintiff alleges no facts indicating an immediate threat of repeated
19 injury, her claims for injunctive relief should be dismissed or stricken.

20 In opposition, plaintiff asserts that her requests for injunctive relief are proper. She
21 contends that in arguing that there is no likelihood she will be harmed in the future,
22 defendants have ignored the fact that she is seeking reinstatement to her job. She
23 argues that reinstatement is a legitimate request for prospective injunctive relief. She
24 contends that her request for reinstatement is in no way contingent on future unlawful
25 conduct by defendants, but is being sought as a remedy for past unlawful actions.

26 The motion is DENIED. Injunctive relief is a remedy, not a claim for relief. See,
27 e.g., Lane v. Vitek Real Estate Indus. Group, 713 F.Supp. 2d 1092, 1104 (E.D. Cal.
28 2010). As a potential remedy, not a stand-alone claim, it is not subject to dismissal

1 under Rule 12(b)(6) for "failure to state a claim upon which relief can be granted." It is
2 also not an appropriate target for a Rule 12(f) motion to strike, as it is not an "insufficient
3 defense" or "redundant, immaterial, impertinent, or scandalous matter." Whether plaintiff
4 ultimately qualifies for the remedy of injunctive relief will depend on the final outcome of
5 her suit.

6 10. Dismissal of free speech claim under California Constitution

7 In the fifth cause of action, plaintiff asserts the free speech claim under the
8 California Constitution against "all defendants." Defendants argue that this claim should
9 be dismissed because the cited section (Art. 1, Sec. 2(a)) does not confer a private right
10 of action for damages. See Degrassi v. Cook, 29 Cal. 4th 333, 336 (2002).

11 In opposition, plaintiff asserts that while Degrassi held that there is no private
12 cause of action for damages under Art. 1, Sec. 2(a), it also held that a plaintiff may seek
13 declaratory and injunctive relief under that provision. Thus, she argues, she has a valid
14 claim for prospective injunctive relief under this provision of the California Constitution.

15 The motion is DENIED. Plaintiff did not specifically allege in the fifth cause of
16 action that she is seeking injunctive relief. The only relief she mentions is punitive
17 damages, which would not be available, per Degrassi. Plaintiff may proceed with the
18 claim under Art. 1, Sec. 2(a), but may seek only injunctive relief.

19 11. Dismissal of claim of wrongful termination in violation of public policy

20 In the eighth cause of action, plaintiff asserts a claim of wrongful termination in
21 violation of public policy against "all defendants." Defendants assert that this claim must
22 be dismissed because it cannot be maintained against public entities. They also contend
23 that only an employer can be liable for the tort of wrongful discharge in violation of public
24 policy. An individual supervisor cannot be sued for wrongful discharge in violation of
25 public policy. Plaintiff does not oppose the motion to dismiss this cause of action. Thus,
26 the motion to dismiss the eighth cause of action is GRANTED.

27 12. Dismissal of Bane Act claim

28 In the tenth cause of action, plaintiff asserts a claim under the Bane Act, Cal. Civ.

1 Code § 52.1, against defendants Eureka City Schools and Van Vleck. She alleges that
2 "[a]s set forth" elsewhere in the FAC, "in response to [plaintiff's] May 24th complaints,
3 [d]efendant Van Vleck interfered by threat, intimidation, or coercion, or attempted to
4 interfere with threat, intimidation or coercion with [plaintiff's] exercise of her rights under
5 the United States and California constitutions and federal and state laws." FAC ¶ 178.

6 The Bane Act prohibits interference or attempted interference with "the exercise or
7 enjoyment by any individual . . . of rights secured by the Constitution or laws of the United
8 States, or of the rights secured by the Constitution or laws of [California," by "threat,
9 intimidation, or coercion." Cal. Civ. Code § 52.1(a). Liability under section 52.1 "requires
10 an attempted or completed act of interference with a legal right, accompanied by a form
11 of coercion." Jones v. Kmart Corp., 17 Cal. 4th 329, 334 (1998).

12 The Act makes clear that "[s]peech alone is not sufficient to support an action . . . ,
13 except upon a showing that the speech itself threatens violence against a specific person
14 . . . ; and the person . . . against whom the threat is directed reasonably fears that,
15 because of the speech, violence will be committed against them or their property and that
16 the person threatening violence had the apparent ability to carry out the threat." Cal. Civ.
17 Code § 52.1(j).

18 Defendants contend that this cause of action must be dismissed because plaintiff
19 has not alleged facts sufficient to support the elements of the claim. They assert that Van
20 Vleck's cautionary advice that, "If this gets out to the media, it's going to be very bad for
21 your career," FAC ¶ 71, in no way involves a threat of violence. Nor, they argue, does
22 plaintiff allege any facts indicating that such threat of violence was made, so that she
23 could amend her complaint to properly assert a claim under the Bane Act. Accordingly,
24 defendants contend, the motion to dismiss the tenth cause of action for violation of the
25 Bane Act should be dismissed without leave to amend.

26 In opposition, plaintiff contends that this cause of action states a viable claim
27 under the Bane Act. She asserts that her claim is not based solely on speech, and that
28 defendants' overly narrow reading ignores the context in which Van Vleck's threat was

1 made. However, she does not directly address defendants' argument that where the
2 "threat, intimidation, or coercion" is based upon a defendant's statements, there must
3 also be an allegation of a specific threat of violence.

4 Plaintiff points to allegations that Van Vleck had previously attempted to suppress
5 the efforts by the ETA to raise plaintiff's concerns with the District, which caused her to
6 temper her communications with the District for fear of retaliation, FAC ¶¶ 33, 35; that
7 Van Vleck told the CTA representative that he intended to discipline plaintiff when he
8 learned about her letter to parents and other complaints on May 24, 2016, FAC ¶ 66, and
9 made good on that promise; that she was removed from her job in the classroom, placed
10 on administrative leave, prohibited from contacting any parents, students, or school
11 personnel, and subsequently non-reelected, FAC ¶¶ 67, 70; and that Van Vleck made the
12 comment about the news "get[ting] out to the media" at the very meeting where he
13 rescinded her contract for the next school year, FAC ¶ 71.

14 Plaintiff argues that this "course of conduct" demonstrates Van Vleck's ability to
15 make good on his verbal "threat" to harm her career, making it coercive and intimidating.
16 She asserts that because this conduct, combined with Van Vleck's threat, provides the
17 basis for her Bane Act claim, she is not required to satisfy the pleading requirements that
18 would apply were her claim based on speech alone.

19 The motion is GRANTED. Plaintiff has not alleged facts sufficient to support the
20 elements of the claim – in particular, the "threat of violence" requirement. Plaintiff has
21 alleged no violence or threat of violence as part of the alleged coercion. See Wagda v.
22 Town of Danville, 2017 WL 2311294, at *10-12 (N.D. Cal. 2017) (dismissing Bane Act
23 Claim without leave to amend because no allegation of threatened violence); Martin v.
24 Cnty. of San Diego, 650 F. Supp. 2d 1094, 1108 (S.D. Cal. 2009) (holding § 52.1(j)
25 "explicitly provides that violence is a required element where the 'threat, intimidation or
26 coercion' is based purely upon a defendant's statements").

27 At most, Van Vleck's comment might be interpreted as a form of economic
28 coercion, but threats of economic coercion do not constitute violence or a threat of

1 violence. See Lil' Man in the Boat v. City & Cnty. of S.F., 2017 WL 3129913 at *10-11
2 (N.D. Cal. July 24, 2017); Gottschalk v. City & Cnty. of S.F., 964 F.Supp. 2d 1147, 1164
3 (N.D. Cal. 2013).

4 13. Dismissal of state-law claims against Alexander

5 Defendants argue that all state law claims asserted against Alexander must be
6 dismissed because the FAC, in which Alexander is named as a defendant for the first
7 time, was filed on May 5, 2017, which was more than six months after the District issued
8 its letter denying plaintiff's California Tort Claims Act ("CTCA") claim (September 14,
9 2016), and thus, such claims against Alexander are time-barred under California
10 Government Code § 945.6.

11 Section 945.6(a) requires that an action on a rejected claim must be filed within six
12 months after the notice of rejection of the claim "is personally delivered or deposited in
13 the mail." Cal. Gov't. Code § 945.6(a); Smith v. City and Cty. of S.F., 68 Cal. App. 3d 227
14 (1977). Further, the CTCA requires that a plaintiff allege facts demonstrating either
15 compliance with the CTCA requirement or an excuse for noncompliance as an essential
16 element of a state law cause of action. See D.K. by and through his conservator, G.M. v.
17 Solano Cnty. Office of Educ., 667 F.Supp. 2d 1184, 1194 (E.D. Cal. 2009); State of Calif.
18 v. Superior Court (Bodde), 32 Cal. 4th 1234, 1243-44 (2004).

19 Here, defendants assert, plaintiff mailed and emailed her Government Tort Claim
20 on July 28, 2016. Notice of rejection of the claim was sent to plaintiff on September 14,
21 2016. Plaintiff filed her initial complaint on March 10, 2016, within six months after her
22 claim was rejected, but did not allege a claim against Alexander at that time. Plaintiff filed
23 the FAC on May 5, 2017, after the expiration of the six-month limitations period as to
24 Alexander. Thus, defendants contend, the claims against Alexander are time-barred.

25 In opposition, plaintiff argues that her claims against Alexander are timely. She
26 notes that defendants do not dispute that plaintiff filed a CTCA claim and also filed a
27 timely complaint in state court, but that their argument is that the claims against
28 Alexander are untimely because she was not named as a defendant until the FAC was

1 filed, approximately 55 days after the initial complaint was filed.

2 Plaintiff asserts that the primary function of the CTCA is to apprise the
3 governmental body of imminent legal action so that it may investigate and evaluate the
4 claim and where appropriate, avoid litigation by settling meritorious claims. She argues
5 that courts should not apply limitations periods in an “overly rigid, technical” manner
6 where the underlying purposes are not furthered; and that the doctrine of “substantial
7 compliance” requires no more than that the governmental entity be apprised of the claim,
8 have an opportunity to investigate and settle it and incur no prejudice as a result of
9 plaintiff’s failure to strictly comply with the claims act.

10 Here, plaintiff contends, she “substantially complied” with the statute, as she filed
11 the initial complaint within the six-month period, and her initial CTCA claim asserted that
12 litigation would be brought against the District and “all appropriate District officials,” which
13 she claims constitutes a specific reference to Alexander’s role in the underlying events.

14 The motion is GRANTED. Plaintiff does not dispute that Alexander was not
15 named in any complaint filed in this action until after the six-month limitations period in
16 Gov’t Code § 945.6(a) had expired. Her argument – that the limitations period should be
17 extended because she impliedly alluded to Alexander in the government claim by
18 referring to “all appropriate District officials” – is without merit.

19 Even assuming that such a reference was sufficient to put defendants on notice
20 that a tort claim was being made against Alexander, plaintiff has cited no authority for the
21 proposition that this excused her failure to file suit against Alexander within the six-month
22 limitation period. The six-month period set forth in § 945.6(a)(1) is “mandatory” and “strict
23 compliance is required.” See, e.g., Hahn v. City of Carlsbad, 2016 WL 3211802 at *4
24 (S.D. Cal. Apr. 12, 2016).

25 Plaintiff added Alexander as a defendant only when she filed the FAC, which was
26 well past the six-month limitation period. Based on all the allegations in the FAC, she
27 was clearly aware of Alexander’s role – if any – in the events that form the basis of her
28 claims, and she was therefore required to file any state-law claims against Alexander

1 within six months of receiving the denial of the CTCA claim.

2 Plaintiff cites four cases in support of her argument that she should be permitted to
3 add a previously unnamed defendant after the CTCA's deadline for filing a complaint
4 where she has otherwise "substantially complied" with the Act. None of these cases
5 supports her position.

6 In Carlino v. L.A. Cnty. Flood Control Dist., 10 Cal. App. 4th 1526, 1533-35 (1992),
7 the court allowed a plaintiff to amend the complaint to substitute a named defendant for a
8 "Doe" defendant, because the plaintiff had timely filed the CTCA claim with the public
9 entity, and was ignorant of the true name of the "Doe" defendant when she filed the
10 original complaint. Plaintiff's original complaint in this case included no "Doe"
11 defendants.

12 In Addison v. State of Cal., 21 Cal. 3d 313, 317 (1978), the plaintiff filed suit in
13 federal court, asserting claims under both federal and state law, and after the court
14 dismissed the federal causes of action and declined to exercise jurisdiction over the
15 state-law causes of action, the plaintiff filed another suit in state court. The second suit
16 was filed more than six months after the CTCA claim had been denied. The defendants
17 argued that the state-court action was time-barred, but the court applied equitable
18 estoppel to toll the running of the limitation period. Here, by contrast, there are no facts
19 alleged showing any basis for the application of equitable estoppel.

20 In Elias v. San Bernardino Cnty. Flood Dist., 68 Cal. App. 3d 70, 75-77 (1977), the
21 plaintiff filed suit against one county entity but inadvertently failed to file suit with the
22 other; the court allowed the case to proceed because the government entity had been
23 advised of the claim and had had an opportunity to investigate and settle it. Here, it is not
24 a matter of inadvertently failing to name a particular entity defendant, but rather of failing
25 to name an individual defendant whose identity plaintiff was clearly aware of.

26 In Scruggs v. Haynes, 252 Cal. App. 2d 256, 268-69 (1967), the plaintiff filed a
27 CTCA claim for assault and battery with the City of Long Beach, but named only two
28 police officers in the claim. Later, the plaintiff filed suit against the City and the police

1 officers. The court held that plaintiff had satisfied the CTCA requirement as to the City
2 because the claim included "all the information required." Here, the issue is not whether
3 plaintiff satisfied the CTCA requirement, but whether she filed suit against a particular
4 defendant within the time permitted.

5 **CONCLUSION**

6 In accordance with the foregoing, defendants' motion to dismiss is GRANTED in
7 part and DENIED in part, as follows.

8 The motion to dismiss the ADA and § 504 retaliation claims (first and second
9 causes of action) as to the individual defendants is DENIED.

10 The motion to dismiss the ADA and § 504 retaliation claims on the ground that
11 plaintiff did not oppose disability discrimination under either of those Acts is DENIED.

12 The motion to dismiss the third (Gov't Code § 11135) cause of action is
13 GRANTED. The dismissal is with prejudice.

14 The motion to dismiss the § 1983 claims (fourth and ninth causes of action) for
15 damages against the entity defendants and the individual defendants in their official
16 capacities is GRANTED. The dismissal is with prejudice.

17 The motion to dismiss the § 1983 retaliation claim (fourth cause of action) to the
18 extent it is predicated on violations of the ADA and Rehabilitation Act is GRANTED. The
19 dismissal is with prejudice.

20 The motion to dismiss what remains of the § 1983 retaliation claim (fourth cause of
21 action) because plaintiff does not allege any protected communications is DENIED.

22 The motion to dismiss the § 1983 claims (fourth and ninth causes of action) as to
23 the individual defendants, based on qualified immunity, is DENIED.

24 The motion to dismiss the fifth cause of action (denial of free speech under the
25 California Constitution) is GRANTED to the extent it can be interpreted as seeking
26 damages, but DENIED, to the extent it can be interpreted as seeking injunctive relief.

27 The motion to dismiss the eighth cause of action (wrongful termination in violation
28 of public policy) is GRANTED. The dismissal is with prejudice.

1 The motion to dismiss the tenth (Bane Act) cause of action is GRANTED. The
2 dismissal is with prejudice.

3 The motion to dismiss the state-law claims asserted against Alexander as time-
4 barred is GRANTED. The dismissal is with prejudice.

5 The motion to dismiss the claims for punitive damages under the ADA and
6 Rehabilitation Act is GRANTED, based on lack of opposition from plaintiff. The motion to
7 dismiss the claims for punitive damages as to the entity defendants generally is
8 GRANTED, in light of the prohibition in Government Code § 818 against imposing
9 punitive damages on public entities.

10 The motion to dismiss the claims for injunctive relief is DENIED, as injunctive relief
11 is a remedy, not a cause of action.

12 Defendants shall answer the claims of the FAC left intact by this order within the
13 time permitted under Federal Rule of Civil Procedure 12.

14
15 **IT IS SO ORDERED.**

16 Dated: September 29, 2017



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18 PHYLLIS J. HAMILTON
19 United States District Judge
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